

# MATH 221, Spring 2018 - Homework 10 Solutions

Due Tuesday, May 1

## Section 5.2

Page 279, Problem 2:

- $A - \lambda I = \begin{bmatrix} -4 - \lambda & -1 \\ 6 & 1 - \lambda \end{bmatrix}$  and the characteristic polynomial is  $\det(A - \lambda I) = (-4 - \lambda)(1 - \lambda) - (-1)(6) = \lambda^2 + 3\lambda + 2$
- The solutions to the equation  $\lambda^2 + 3\lambda + 2 = 0$  are  $\lambda = -1, \lambda = -2$ .

Page 279, Problem 4:

- $A - \lambda I = \begin{bmatrix} 8 - \lambda & 2 \\ 3 & 3 - \lambda \end{bmatrix}$  and the characteristic polynomial is  $\det(A - \lambda I) = (8 - \lambda)(3 - \lambda) - (3)(2) = \lambda^2 - 11\lambda + 18$
- The solutions to  $\lambda^2 - 11\lambda + 18 = 0$  are  $\lambda = 9, \lambda = 2$ .

Page 272, Problem 7:

- $A - \lambda I = \begin{bmatrix} 5 - \lambda & 3 \\ -4 & 4 - \lambda \end{bmatrix}$  and the characteristic polynomial is  $\det(A - \lambda I) = (5 - \lambda)(4 - \lambda) - (3)(-4) = \lambda^2 - 9\lambda + 32$
- The solutions to  $\lambda^2 - 9\lambda + 32 = 0$  are found using the quadratic formula  $\lambda = \frac{9 \pm \sqrt{9^2 - 4(1)(32)}}{2(1)} \Rightarrow \lambda = \frac{9}{2} \pm \frac{\sqrt{81 - 128}}{2}$ . Because expression involves complex roots, **there are no REAL eigenvalues**.

Page 279, Problem 8:

- $A - \lambda I = \begin{bmatrix} -4 - \lambda & 3 \\ 2 & 1 - \lambda \end{bmatrix}$  and the characteristic polynomial is  $\det(A - \lambda I) = (-4 - \lambda)(1 - \lambda) - (3)(2) = \lambda^2 + 3\lambda - 10$
- The solutions to  $\lambda^2 + 3\lambda - 10 = 0$  are  $\lambda = -5, \lambda = 2$ .

Page 280, Problem 25a:

- Because we know that  $\mathbf{v}_1 = \begin{bmatrix} 3/7 \\ 4/7 \end{bmatrix}$  is an eigenvector, compute  $A\mathbf{v}_1 = \begin{bmatrix} .6 & .3 \\ .4 & .7 \end{bmatrix} \begin{bmatrix} 3/7 \\ 4/7 \end{bmatrix} = \begin{bmatrix} 3/7 \\ 4/7 \end{bmatrix}$ . So,  $\lambda = 1$  must be the eigenvalue corresponding to  $\mathbf{v}_1$ .
- To find the other eigenvector, find the eigenvalues of the matrix:  $A - \lambda I = \begin{bmatrix} .6 - \lambda & .3 \\ .4 & .7 - \lambda \end{bmatrix}$ , so the characteristic polynomial is  $\lambda^2 - 1.3\lambda + 0.3$  and the solutions to  $\lambda^2 - 1.3\lambda + 0.3 = 0$  are  $\lambda = 1$  and  $\lambda = .3$ . Thus, the other eigenvector must correspond to  $\lambda = .3$ .
- To find the other eigenvector, solve  $(A - .3I)\mathbf{x} = \mathbf{0}$  for the general solution:  $\begin{bmatrix} .3 & .3 & 0 \\ .4 & .4 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Rightarrow \mathbf{x} = x_2 \begin{bmatrix} -1 \\ 1 \end{bmatrix}$ . Therefore, an eigenvector corresponding to  $\lambda = .3$  is  $\begin{bmatrix} -1 \\ 1 \end{bmatrix}$ .
- Because eigenvectors corresponding to different eigenvalues are linearly independent (and two non-zero linearly independent vectors in  $\mathbb{R}^2$  must also span  $\mathbb{R}^2$ ), the set  $\{\mathbf{v}_1, \mathbf{v}_2\} = \left\{ \begin{bmatrix} 3/7 \\ 4/7 \end{bmatrix}, \begin{bmatrix} -1 \\ 1 \end{bmatrix} \right\}$  is a basis for  $\mathbb{R}^2$ .

Page 280, Problem 25b:

- Solve for  $c$ :  $\mathbf{x}_0 = \mathbf{v}_1 + c\mathbf{v}_2 \Rightarrow \mathbf{x}_0 - \mathbf{v}_1 = c\mathbf{v}_2$ . So,  $\begin{bmatrix} .5 \\ .5 \end{bmatrix} - \begin{bmatrix} 3/7 \\ 4/7 \end{bmatrix} = \begin{bmatrix} 1/14 \\ -1/14 \end{bmatrix} = -\frac{1}{14} \begin{bmatrix} -1 \\ 1 \end{bmatrix} = -\frac{1}{14}\mathbf{v}_2$ . So,  $c = -\frac{1}{14}$  and  $\mathbf{x}_0 = \mathbf{v}_1 - \frac{1}{14}\mathbf{v}_2$ .

Page 280, Problem 25c:

- To begin, realize that  $\mathbf{x}_k = A^k\mathbf{x}_0 = A^k(\mathbf{v}_1 - \frac{1}{14}\mathbf{v}_2) = A^k\mathbf{v}_1 - A^k\frac{1}{14}\mathbf{v}_2 = A^k\mathbf{v}_1 - \frac{1}{14}A^k\mathbf{v}_2$ .
- Then,  $\mathbf{x}_1 = A\mathbf{v}_1 - \frac{1}{14}A\mathbf{v}_2$ . Remember the definition of an eigenvector: if  $\mathbf{v}$  is an eigenvector corresponding to  $\lambda$ , then  $A\mathbf{v} = \lambda\mathbf{v}$ .
- Because  $\mathbf{v}_1$  is an eigenvector corresponding to  $\lambda = 1$  and  $\mathbf{v}_2$  is an eigenvector corresponding to  $\lambda = .3$ , this equation can be rewritten as  $\mathbf{x}_1 = 1\mathbf{v}_1 - \frac{1}{14}(0.3\mathbf{v}_2) = \begin{bmatrix} 3/7 \\ 4/7 \end{bmatrix} + \begin{bmatrix} 3/140 \\ -3/140 \end{bmatrix} = \begin{bmatrix} 9/20 \\ 11/20 \end{bmatrix}$ .
- Similarly,  $\mathbf{x}_2 = A^2\mathbf{v}_1 - \frac{1}{14}A^2\mathbf{v}_2 = A(A\mathbf{v}_1) - \frac{1}{14}A(A\mathbf{v}_2) = A(1\mathbf{v}_1) - \frac{1}{14}A(.3\mathbf{v}_2) = A\mathbf{v}_1 - \frac{.3}{14}A\mathbf{v}_2 = 1\mathbf{v}_1 - \frac{.3}{14}(.3\mathbf{v}_2) = \mathbf{v}_1 - \frac{1}{14}(0.3)^2\mathbf{v}_2$ . This is equal to  $\begin{bmatrix} 3/7 \\ 4/7 \end{bmatrix} + \begin{bmatrix} 9/1400 \\ -9/1400 \end{bmatrix} = \begin{bmatrix} 87/200 \\ 113/200 \end{bmatrix}$ .
- It is clear to see that that the formula for  $\mathbf{x}_k = \mathbf{v}_1 - \frac{1}{14}(0.3)^k\mathbf{v}_2$ .
- As  $k$  gets larger (tends to infinity),  $(0.3)^k$  tends to 0. Therefore, as  $k \rightarrow \infty$ ,  $\mathbf{x}_k \rightarrow \mathbf{v}_1$ .

## Section 5.3

Page 286, Problem 6:

A matrix  $A$  of the form  $A = PDP^{-1}$  where  $D$  is a diagonal matrix consisting of the eigenvalues of  $A$  has vectors that form a basis for the eigenspace in the column of  $P$  that correspond to the eigenvalue in  $D$ . Therefore, the eigenvalues of  $A$  are 3 and 4. The vectors corresponding to  $\lambda = 3$  that forms a basis for the eigenspace are columns 1 and 3 of the

matrix  $P$ :  $\left\{ \begin{bmatrix} 3 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} -1 \\ -3 \\ 0 \end{bmatrix} \right\}$ . The vector corresponding to  $\lambda = 4$  that forms a basis for the eigenspace is column 2

of the matrix  $P$ :  $\left\{ \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \right\}$ .

Page 286, Problem 7:

- To diagonalize the matrix, first find the eigenvalues:  $\det(A - \lambda I) = (1 - \lambda)(-1 - \lambda) - 6(0) = \lambda^2 - 1 = 0 \Rightarrow \lambda = \pm 1$ . Then, find a basis for each eigenspace.
- When  $\lambda = 1$ ,  $(A - I)\mathbf{x} = \mathbf{0} \Rightarrow \begin{bmatrix} 0 & 0 & 0 \\ 6 & -2 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & -1/3 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Rightarrow \mathbf{x} = x_2 \begin{bmatrix} 1/3 \\ 1 \end{bmatrix} = x_2 \begin{bmatrix} 1 \\ 3 \end{bmatrix}$ . So,  $\left\{ \begin{bmatrix} 1 \\ 3 \end{bmatrix} \right\}$  is a basis.
- When  $\lambda = -1$ ,  $(A + I)\mathbf{x} = \mathbf{0} \Rightarrow \begin{bmatrix} 2 & 0 & 0 \\ 6 & 0 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Rightarrow \mathbf{x} = x_2 \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ . So,  $\left\{ \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\}$  is a basis.
- Then, these bases form the columns of  $P$  with the **associated eigenvalue in the corresponding column of  $D$  (this is very important!)**:  $P = \begin{bmatrix} 1 & 0 \\ 3 & 1 \end{bmatrix}$ ,  $D = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ .

Page 287, Problem 12:

- Because the eigenvalues are given, we just need to find a basis for each eigenspace. Note: Because there are only 2 distinct eigenvalues, the sum of the dimensions of the eigenspaces must equal 3 in order for  $A$  to be diagonalizable.
- When  $\lambda = 2$ ,  $(A - 2I)\mathbf{x} = \mathbf{0} \Rightarrow \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \Rightarrow \mathbf{x} = x_2 \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix} + x_3 \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix}$ . So,  $\left\{ \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix} \right\}$  is a basis.
- When  $\lambda = 5$ ,  $(A - 5I)\mathbf{x} = \mathbf{0} \Rightarrow \begin{bmatrix} -2 & 1 & 1 & 0 \\ 1 & -2 & 1 & 0 \\ 1 & 1 & -2 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 1 & -2 & 0 \\ 0 & 3 & -3 & 0 \\ 0 & 3 & -3 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & 1 & -2 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \Rightarrow \mathbf{x} = x_3 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ . So,  $\left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}$  is a basis.
- Then, these bases form the columns of  $P$  with the **associated eigenvalue in the corresponding column of  $D$**  (**this is very important!**):  $P = \begin{bmatrix} -1 & -1 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$ ,  $D = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 5 \end{bmatrix}$ .

Page 287, Problem 20:

- Because the matrix is triangular, the eigenvalues are the entries on the diagonal:  $\lambda = 2$ ,  $\lambda = 3$  (each with multiplicity 2). Note: Because there are only 2 distinct eigenvalues, the sum of the dimensions of the eigenspaces must equal 4 in order for  $A$  to be diagonalizable.
- When  $\lambda = 2$ ,  $(A - 2I)\mathbf{x} = \mathbf{0} \Rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} \Rightarrow \mathbf{x} = x_2 \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} + x_3 \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$ . So,  $\left\{ \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} \right\}$  is a basis.
- When  $\lambda = 3$ ,  $(A - 3I)\mathbf{x} = \mathbf{0} \Rightarrow \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} \Rightarrow \mathbf{x} = x_4 \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$ . So,  $\left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$  is a basis.
- Because the dimension of the basis corresponding to  $\lambda = 3$  is 1 and the basis corresponding to  $\lambda = 2$  is 2 and  $1 + 2 = 3 \neq 4$ , the matrix is not diagonalizable.

Page 287, Problem 21a:

True or False:  $A$  is diagonalizable if  $A = PDP^{-1}$  for some matrix  $D$  and some invertible matrix  $P$ .

**FALSE:** The matrix  $D$  needs to be a diagonal matrix (the notation  $D$  does not automatically denote a diagonal matrix).

Page 287, Problem 21b:

True or False: If  $\mathbb{R}^n$  has a basis of eigenvectors of  $A$ , then  $A$  is diagonalizable.

**TRUE:** Because  $A$  is an  $n \times n$  matrix (stated in the directions), this statement is true and follows from the Diagonalization

Theorem on page 282.

## Section 6.1

Page 336, Problem 2:

- $\mathbf{w} \cdot \mathbf{w} = 3(3) + -1(-1) + -5(-5) = 9 + 1 + 25 = 35$
- $\mathbf{x} \cdot \mathbf{w} = 6(3) + -2(-1) + 3(-5) = 18 + 2 - 15 = 5$
- $\frac{\mathbf{x} \cdot \mathbf{w}}{\mathbf{w} \cdot \mathbf{w}} = \frac{5}{35} = \frac{1}{7}$

Page 336, Problem 7:

- $\|\mathbf{w}\| = \sqrt{\mathbf{w} \cdot \mathbf{w}} = \sqrt{35}$

Page 336, Problem 10:

- First, compute the norm of the vector:  $\sqrt{-6(-6) + 4(4) + -3(-3)} = \sqrt{36 + 16 + 9} = \sqrt{61}$
- Then, normalize the vector (multiply by the scalar  $\frac{1}{\sqrt{61}}$ ):  $\begin{bmatrix} -6/\sqrt{61} \\ 4/\sqrt{61} \\ -3/\sqrt{61} \end{bmatrix}$

Page 336, Problem 14:

- First find  $\mathbf{u} - \mathbf{z} = \begin{bmatrix} 4 \\ -4 \\ -6 \end{bmatrix}$
- use the formula  $\text{dist}(\mathbf{u}, \mathbf{z}) = \|\mathbf{u} - \mathbf{z}\| = \sqrt{(\mathbf{u} - \mathbf{z}) \cdot (\mathbf{u} - \mathbf{z})} = \sqrt{4(4) + -4(-4) + -6(-6)} = \sqrt{68} = 2\sqrt{17}$

Page 336, Problem 16:

- Vectors are orthogonal if the dot product of the vectors equals zero.
- Compute  $\mathbf{u} \cdot \mathbf{v} = 12(2) + 3(-3) + -5(3) = 0$ . So, **the vectors are orthogonal.**

Page 336, Problem 17:

- $\mathbf{u} \cdot \mathbf{v} = 3(-4) + 2(1) + -5(-2) + 0(6) = 0$ . So, **the vectors are orthogonal.**

Page 337, Problem 20a:

True or False:  $\mathbf{u} \cdot \mathbf{v} - \mathbf{v} \cdot \mathbf{u} = 0$

**TRUE:** By Theorem 1,  $\mathbf{u} \cdot \mathbf{v} = \mathbf{v} \cdot \mathbf{u}$ , so by substitution  $\mathbf{u} \cdot \mathbf{v} - \mathbf{u} \cdot \mathbf{v} = 0$ .

Page 337, Problem 20b:

True or False: For any scalar  $c$ ,  $\|c\mathbf{v}\| = c\|\mathbf{v}\|$

**FALSE:** As stated on page 331,  $\|c\mathbf{v}\| = |c|\|\mathbf{v}\|$ .

Page 337, Problem 20c:

True or False: If  $\mathbf{x}$  is orthogonal to every vector in a subspace  $W$ , then  $\mathbf{x}$  is in  $W^\perp$ .

**TRUE:** This statement follows from the definition of Orthogonal Complements on page 334 (here, the set that spans  $W$  is  $W$  itself).

Page 337, Problem 20d:

True or False: For any scalar  $c$ ,  $\|\mathbf{u}\|^2 + \|\mathbf{v}\|^2 = \|\mathbf{u} + \mathbf{v}\|^2$ , then  $\mathbf{u}$  and  $\mathbf{v}$  are orthogonal.

**TRUE:** This statement is part of Theorem 2 in this section (the Pythagorean Theorem).

Page 337, Problem 20e:

True or False: For an  $m \times n$  matrix  $A$ , vectors in the null space of  $A$  are orthogonal to vectors in the row space of  $A$ .

**TRUE:** This statement is part of Theorem 3 of this section.

Page 337, Problem 23:

- $\mathbf{u} \cdot \mathbf{v} = 2(-7) + -5(-4) + -1(6) = 0$
- $\|\mathbf{u}\|^2 = \mathbf{u} \cdot \mathbf{u} = 2(2) + -5(-5) + -1(-1) = 30$
- $\|\mathbf{v}\|^2 = \mathbf{v} \cdot \mathbf{v} = -7(-7) + -4(-4) + 6(6) = 101$
- $\|\mathbf{u} + \mathbf{v}\|^2 = (\mathbf{u} + \mathbf{v}) \cdot (\mathbf{u} + \mathbf{v}) = -5(-5) + -9(-9) + 5(5) = 131$

Page 337, Problem 31:

Suppose  $\mathbf{x}$  is in both  $W$  and  $W^\perp$ . Because  $W$  spans  $W$  and  $\mathbf{x} \in W$ ,  $\mathbf{x}$  is orthogonal to every vector in  $W$  (by definition of orthogonal complements). Because  $\mathbf{x}$  is orthogonal to **every** vector in  $W$ , that means  $\mathbf{x} \cdot \mathbf{x} = 0$ , which implies  $\mathbf{x} = \mathbf{0}$  (by Theorem 1).